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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gary L. Gastineau
Serial No. : 09/536,258
Filed : March 27, 2000
Title : HEDGING EXCHANGE TRADED MUTUAL FUNDS OR OTHER
PORTFOLIO BASKET PRODUCTS

Art Unit : Unknown
Examiner : Unknown

Commissioner for Patents
Washington, D.C. 20231

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TC 2700 MAIL ROOM

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

8/8/2000

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

August 8, 2000

Signature

Marie Collins
Marie Collins

Typed or Printed Name of Person Signing Certificate